



Attorney Docket No. T9967.A

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: DARIN Y. FURGESON et)
al.)
TITLE: LINEAR)
POLYETHYLENIMINE-)
STEROL CONJUGATES FOR)
GENE DELIVERY) INFORMATION DISCLOSURE
STATEMENT UNDER 37 C.F.R.
SERIAL NO.: 10/623,020) § 1.97
FILED: July 17, 2003)
EXAMINER: _____)
ART UNIT: _____)

Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

Sir:

Please find, pursuant to 37 C.F.R. § 1.98(a)(1), the enclosed Form PTO-1449, which contains a list of patents, publications, or other items that have come to the attention of one or more of the individuals designated in 37 C.F.R. § 1.56(c). Applicant respectfully invokes the Patent Office's obligation under 37 C.F.R. § 1.97 to consider these references and make them of record in the above-captioned application. While no representation is made that

Certificate of Deposit Under 37 C.F.R. § 1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, postage prepaid, in an envelope addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450, on the 17th day of October, 2003.

Alan J. Howarth
Attorney Registration No. 36,553

any of these references may be "prior art" within the meaning of that term under 35 U.S.C. §§ 102 or 103, the enclosed list of references is disclosed so as to fully comply with the duty of disclosure set forth in 37 C.F.R. § 1.56.

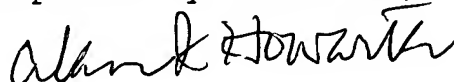
Moreover, while no representation is made that a specific search of office files or patent office records has been conducted or that no better art exists, the undersigned attorney of record believes that the references listed, together with any other references which may have been previously cited by or submitted to the Office, are the closest to the claimed invention (taken in its entirety) of which the undersigned is presently aware, and no art which is closer to the claimed invention (taken in its entirety) has been knowingly withheld.

In accordance with 37 C.F.R. §§ 1.97 and 1.98, a copy of each listed reference (or relevant portion thereof) that was not previously submitted to, or cited by, the Patent Office is also enclosed.

Please charge any additional fees or credit any overpayment to
Deposit Account No. 50-0836.

DATED this 17th day of October, 2003.

Respectfully submitted,



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